

Please type a plus sign (+) Inside this box

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360

UTILITY  
PATENT APPLICATION  
TRANSMITTAL

**TRANSMITTAL**  
(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility application contents

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See MPEP chapter 600 concerning utility application contents

2.  *(Submit an original and a duplicate for fee processing)*  
**Specification** *(preferred arrangement set forth below)* [Total Pages \_\_\_\_\_]

- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (*if filed*)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

3.  Drawing(s)

4. Oath or Declaration

a.

Newly executed (original copy)

b.

Copy from a prior application (37 C.F.R. § 1.63(d))  
(for continuation/divisional with Box 17 completed)

1. DELETE

Signed statement attached deleting  
inventor(s) named in the prior application,  
see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

**Incorporation By Reference (useable if Box 4b is checked)**  
The entire disclosure of the prior application, from which a  
copy of the oath or declaration is supplied under Box 4b, is  
considered to be part of the disclosure of the  
accompanying application and is hereby incorporated by  
reference therein

10. **Impersonated by**

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment.  
 Continuation    Divisional    Continuation-in-part (CIP)   of prior application No. \_\_\_\_\_  
Prior application Information: Examiner \_\_\_\_\_ Date \_\_\_\_\_

Continuation  Divisional  Continuation-in-part (CIP) Appropriate box and supply the

of prior application No.: 8/952,628

Group/Art Unit: 2875

Prior application Information: Examiner Tso, L		Continuation-in-part (CIP)		Information below and in a preliminary amendment: of prior application No.: 8/952,628 Group/Art Unit: 2875	
18. CORRESPONDENCE ADDRESS					
<input type="checkbox"/> Customer Number or Bar Code Label		(Insert Customer No. or Attach bar code label here)		or <input checked="" type="checkbox"/> Correspondence address below	
Name	John F. McKenna				
Address	Cesari and McKenna 30 Rowes Wharf				
City	Boston	State	MA	Zip Code	02110
Country	U. S. A.	Telephone	(617) 951-2500	Fax	(617) 951-3927
Name (Print/Type)		John F. McKenna		Registration No. (Attorneys)	
Signature					

Name (Print/Type)		Telephone (617) 951-2500	Fax (617) 951-3927
Signature		John F. McKenna	Registration No. (Attorney/Agent) 20,912
		Date April 13, 2000	

09/543862  
C525 H. 9

04/13/00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re The Application of: )  
Paul Andrew Cronk )  
Serial No.: Not yet assigned ) Examiner: Not yet assigned  
Filed: April 13, 2000 ) Art Unit: Not yet assigned  
For: ADJUSTABLE REFLECTOR DE- )  
VICE )  
 )  
 ) Cesari and McKenna, LLP  
 ) 30 Rowes Wharf  
 ) Boston, MA 02110  
 ) April 13, 2000

**EXPRESS-MAIL DEPOSIT**

“Express Mail” Mailing-Label Number: EL056798127US

The following papers are being deposited with the United States Postal Service  
“Express Mail Post Office to Addressee” service pursuant to 37 C.F.R. §1.10:

Continuation-in-Part Patent Application ( 10 pages including 11 claims )  
 Fee Transmittal Letter  Utility Patent Application Transmittal  
Letter  
 Formal Drawing ( 4 sheets )  Check in the amount of \$345.00  
 Preliminary Amendment

# FEE TRANSMISSION

Patent fees are subject to annual revision on October 1.

These are the fees effective October 1, 1997.

Small Entity payments **must** be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12.

See 37 C.F.R. §§ 1.27 and 1.28.

**TOTAL AMOUNT OF PAYMENT** **(\$)** **345**

Complete If Known	
Application Number	Not yet assigned
Filing Date	April 13, 2000
First Named Inventor	Paul Andrew Cronk
Examiner Name	Not yet assigned
Group / Art Unit	Not yet assigned
Attorney Docket No.	102055-0008P1

## METHOD OF PAYMENT (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit

Account Number

03-1237

Deposit Account Name

Cesari and McKenna, LLP

Charge Any Additional Fee  Charge the Issue Fee Set in  
Required Under 37 C.F.R. §§1.18 at the Mailing of  
37 C.F.R. §§1.16 and 1.17 the Notice of Allowance

2.  Payment Enclosed:

Check  Money  Other Order

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	790	201	395	Utility filing fee	345
106	330	206	165	Design filing fee	
107	540	207	270	Plant filing fee	
108	790	208	395	Reissue filing fee	
114	150	214	75	Provisional filing fee	
SUBTOTAL (1) (\$)		345			

### 2. EXTRA CLAIM FEES

Extra Claims	Fee from Claims below	Fee Paid
Total Claims 11	- 20** = 0 x 9 = 0	0
Independent Claims 1	- 3** = 0 x 0 = 0	0
Multiple Dependent		0

\*\*or number previously paid, if greater; For Reissues, see below

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	22	203	11	Claims in excess of 20
102	82	202	41	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	82	209	41	**Reissue independent claims over original patent
110	22	210	11	**Reissue claims in excess of 20 and over original patent
SUBTOTAL (2) (\$)		0		

## FEE CALCULATION (continued)

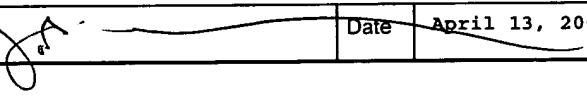
### 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)
105	130	205	65 Fee Surcharge - late filing fee or oath
127	50	227	25 Surcharge - late provisional filing fee or cover sheet
139	130	139	130 Non-English Specification
147	2,520	147	2,520 For filing a request for reexamination
112	920	112	920* Requesting publication of SIR prior to Examiner action
113	1,840	113	1,840*Requesting publication of SIR after Examiner action
115	110	215	55 Extension for reply within first month
116	400	216	200 Extension for reply within second month
117	950	217	475 Extension for reply within third month
118	1,510	218	755 Extension for reply within fourth month
128	2,060	128	1,030 Extension for reply within fifth month
119	310	219	155 Notice of Appeal
120	310	220	155 Filing a brief in support of an appeal
121	270	221	135 Request for oral hearing
138	1,510	138	1,510 Petition to institute a public use proceeding
140	110	240	55 Petition to revive - unavoidable
141	1,320	241	660 Petition to revive - unintentional
142	1,320	242	660 Utility Issue fee (or reissue)
143	450	243	225 Design Issue fee
144	670	244	335 Plant Issue fee
122	130	122	130 Petitions to the Commissioner
123	50	123	50 Petitions related to provisional applications
126	240	126	240 Submission of Information Disclosure Stmt
581	40	581	40 Recording each patent assignment per property (times number of properties)
146	790	246	395 Filing a submission after final rejection (37 CFR 1.129(a))
149	790	249	395 For each additional invention to be examined (37 CFR 1.129(b))
Other (specify)		SUBTOTAL (3) (\$)	
Other fee (specify)		0	

\*Reduced by Basic Filing Fee Paid

## SUBMITTED BY

Complete (if applicable)

Typed or Printed Name	John F. McKenna	Reg. Number	20,912
Signature		Date	April 13, 2000